



Safeguarding and Welfare Requirements: Information and Records

## 10.3 Children's records

## Policy statement

We have record keeping systems in place that meet legal requirements; the means we use to store and share that information takes place within the framework of the General Data Protection Regulations (GDPR) (2018) and the Human Rights Act (1998).

This policy and procedure should be read alongside our Privacy Notice, Confidentiality and Client Access to Records Policy and our Information Sharing Policy.

#### **Procedures**

If a child attends another setting, we establish a regular two-way flow of appropriate information with parents and other providers. Where appropriate, we will incorporate comments from other providers, as well as parents and/or carers into the child's records.

We keep two kinds of records on children attending our setting:

- Developmental records
- Personal records

### Developmental records

- These include observations of children in the setting, photographs, video clips and samples of their work and summary developmental reports.
- These are usually kept in their online learning journal, or locked in the office and can be accessed, and contributed to, by our staff, the child and the child's parents.

### Personal records

These may include the following:



- Personal details including the child's Child Registration form and any consent forms.
- Contractual matters including a copy of the signed offer letter, the child's days and times of attendance, a record of the child's fees, any fee reminders or records of disputes about fees.
- Child's development, health and well-being including an online summary of the child's
  EYFS profile report, a record of discussions about every day matters about the child's
  development health and well-being with the parent / carer.
- Early Support including any additional focussed intervention provided by our setting
  (e.g. support for behaviour, language or development that needs an SEN action plan) and
  records of any meetings held.
- Welfare and child protection concerns including records of all welfare and protection concerns, and our resulting action, meetings and telephone conversations about the child, an Education, Health and Care Plan and any information regarding a Looked After Child.
- Correspondence and Reports including a copy of the child's 2-Year-Old Progress
   Check, all letters and emails to and from other agencies and any confidential reports
   from other agencies.
- These confidential records are stored in a lockable file or cabinet, which is always locked when not in use and which Pre-School Manager/Deputy secure in an office or other suitably safe place.
- We read any correspondence in relation to a child, note any actions and file it immediately.
- We ensure that access to children's files is restricted to those authorised to see them
  and make entries in them, this being our manager, deputy or designated person for
  child protection, the child's key person, or other staff as authorised by our
  Manager/Deputy.
- We may be required to hand children's personal files to Ofsted as part of an inspection or investigation process; or to local authority staff conducting a S11 audit, as long as



- authorisation is seen. We ensure that children's personal files are not handed over to anyone else to look at.
- Parents have access, in accordance with our Privacy Notice, Confidentiality and Client
  Access to Records Policy, to the files and records of their own children, but do not
  have access to information about any other child.
- Our Staff will not discuss personal information given by parents with other members of staff, except where it affects planning for the child's needs. Our staff induction programme includes an awareness of the importance of confidentiality in the role of the key person.
- We retain children's records for three years after they have left the setting; except records that relate to an accident or child protection matter, which are kept until a child reaches the age of 21 years or 24 years respectively. These are kept in a secure place.

## Archiving children's files

- When a child leaves our setting, we remove all paper documents from the child's
  personal file and place them in plastic wallets, with the child's name and date of birth
  on the front and the date they left. We place it in an archive box, stored in a locked
  office for three years. After three years it is destroyed using a professional data
  agency.
- Where there were s.47 child protection investigations, we archive in the Safeguarding file for 25 years, which is kept in a locked cupboard.

### Other records

- We keep a daily record of the names of the children we are caring for, their hours of attendance and the names of their key person.
- Students on recognised qualifications and training, when they are observing in the setting, are advised of our Data Protection and Confidentiality policy and are required to respect it.



## Legal framework:

- General Data Protection Regulations (GDPR) (2018)
- Human Rights Act (1998)

## Further guidance:

• Information sharing: Advice for practitioners providing safeguarding services to children, young people, parents and carers (2015)

## Relevant Ladybird policies:

- 1.2 Safeguarding Children and Child Protection
- 10.6 Data Protection and Confidentiality

This policy was reviewed by	Amanda Sanders - Pre-School Manager
	Victoria Grimes - Admin Manager
	Rebecca McGough - Chair
Date of review	13 <sup>th</sup> January 2024
Date for next review	13 <sup>th</sup> January 2025
Chair's signature	Matwig